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APPLICATION FOR LETTERS PATENT

# Collusion-Resistant Watermarking and Fingerprinting

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## TECHNICAL FIELD

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3 This invention generally relates to a technology facilitating rights  
4 enforcement of digital goods using watermarks. This invention further generally  
5 relates to a fingerprinting technology for protecting digital goods by detecting  
6 collusion as a malicious attack and identifying the participating colluders.

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## BACKGROUND

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9 “Digital goods” is a generic label for electronically stored or transmitted  
10 content. Examples of digital goods include images, audio clips, video, multimedia,  
11 software, and data. Digital goods may also be called a “digital signal,” “content  
12 signal,” “digital bitstream,” “media signal,” “digital object,” “object,” and the like.

13 Digital goods are often distributed to consumers over private and public  
14 networks—such as Intranets and the Internet. In addition, these goods are  
15 distributed to consumers via fixed computer readable media, such as a compact  
16 disc (CD-ROM), digital versatile disc (DVD), soft magnetic diskette, or hard  
17 magnetic disk (e.g., a preloaded hard drive).

18 Unfortunately, it is relatively easy for a person to pirate the pristine digital  
19 content of a digital good at the expense and harm of the content owners—which  
20 includes the content author, publisher, developer, distributor, etc. The content-  
21 based industries (e.g., entertainment, music, film, etc.) that produce and distribute  
22 content are plagued by lost revenues due to digital piracy.

23 Modern digital pirates effectively rob content owners of their lawful  
24 compensation. Unless technology provides a mechanism to protect the rights of  
25 content owners, the creative community and culture will be impoverished.

1      **Watermarking**

2      Watermarking is one of the most promising techniques for protecting the  
3      content owner's rights of a digital good. Generally, watermarking is a process of  
4      altering the digital good such that its perceptual characteristics are preserved.  
5      More specifically, a "watermark" is a pattern of bits inserted into a digital good  
6      that may be used to identify the content owners and/or the protected rights.

7      Generally, watermarks are designed to be completely invisible or, more  
8      precisely, to be imperceptible to humans and statistical analysis tools.

9      A watermark embedder (i.e., encoder) is used to embed a watermark into a  
10     digital good. A watermark detector is used to extract the watermark from the  
11     watermarked digital good. Watermark detection is performed in real-time even on  
12     small devices.

13     Those of ordinary skill in the art are familiar with conventional techniques  
14     and technology associated with watermarks, watermark embedding, and  
15     watermark detecting.

16     Watermarks have limitations. They may be used to designate a digital good  
17     as protected and, perhaps, to indicate that a license is necessary to legally use the  
18     digital good. However, since watermarks are identical in all copies of a digital  
19     good, a digital pirate can reproduce the original content of a marked copy by  
20     breaking the watermark at a single watermark detector, for example by extracting  
21     the detection key and using it to find the watermark and remove it or jam it.

22     Therefore, others may use the original content without the watermark; thus,  
23     without the content owner receiving the appropriate compensation. This is  
24     generally called "break once run everywhere" or BORE.

1        Furthermore, to individualize a particular copy of a digital good (or a  
2 particular system that will use that good) with watermarks, we need to augment it  
3 with a technology called “fingerprinting”.

4

### 5 Conventional Fingerprinting

6        Conventional fingerprinting (i.e., “classic fingerprinting”) refers to  
7 techniques that involve uniquely marking each copy of a particular digital good,  
8 and associating each uniquely marked copy with a “classic fingerprint.” That  
9 classic fingerprint is associated with or assigned to a particular entity (e.g., person,  
10 business, media player, or smart card) to which the copy is distributed.

11       If unauthorized copies of the uniquely marked copy are made, the  
12 fingerprint can be traced back to the original entity to which the copy was initially  
13 distributed. In other words, classic fingerprinting technology may be used to trace  
14 piracy to its origin.

15       As an example, consider a printed map. When a mapmaker produces a  
16 map, they may want to ensure that those individuals to whom the map is  
17 distributed do not make unauthorized copies of the map and distribute them to  
18 others. One way that the mapmaker might protect his maps is to introduce a  
19 different trivial error (e.g., a non-existent street) into each of the copies of the map  
20 that are distributed. Those different trivial errors are fingerprints. Each fingerprint  
21 is then associated with an individual to whom the map is distributed. By  
22 associating each different fingerprint with a different individual, if and when  
23 unauthorized copies of that individual’s copy are uncovered, they can be traced  
24 back to the original individual by virtue of the unique fingerprint that the map  
25 contains.

1       Using embedding methods similar (but not identical) to watermarking, the  
2       fingerprint is embedded into a digital good. If we want to achieve both prevention  
3       and “after the fact” tracing, a combination of the fingerprint and watermark are  
4       embedded into a digital good.

5       Very powerful machines that can devote significant resources to the process  
6       of detecting a fingerprint typically perform fingerprint detection. If necessary, a  
7       fingerprint detector can have access to the original unmarked digital good, using it  
8       to improve the likelihood of success in detecting the fingerprints—even from  
9       content modified by malicious attacks.

10      Classic Fingerprint = Unique Entity Identifier (UEid)

11      Although the term "fingerprint" is commonly understood by those of  
12       ordinary skill in the art, the terms "classic fingerprint" or "unique entity identifier"  
13       (UEid) may be used hereinafter to refer to this conventional technology (and its  
14       unique marks). This is done to avoid confusion with the use, herein, of  
15       "fingerprinting" in the other sections of this document (i.e., sections other than the  
16       "Background" section). In those other sections, the term "fingerprinting" may  
17       refer to a similar, but distinctly different technology.

18      Collusion

19      One problem with fingerprinting can arise when two or more entities  
20       collude. Their purpose for doing this may be to discover, modify, or remove their  
21       fingerprints and/or the embedded watermark. Those that attempt to collude are  
22       called “colluders.” A group of colluders who attempt to collude are part of a  
23       “collusion clique.”

1        Returning to the map example for illustration, collusion occurs when two or  
2 more individuals get together and compare their maps. They can, given enough  
3 time, ascertain their unique fingerprints by simply looking for the differences  
4 between their maps. If they can ascertain their fingerprint, they can alter it and  
5 therefore possibly avoid detection.

6        With the advent of the Internet and electronic distribution, fingerprinting  
7 digital goods for purposes of detecting or deterring unauthorized copying has  
8 become particularly important. As in the above map example, collusion by  
9 different individuals in the digital context can pose challenges to the owners and  
10 distributors of digital goods.

11        **Conventional Fingerprinting/Watermarking Systems with Collusion**

12        **Resistance**

13        Existing conventional fingerprinting/watermarking systems have some  
14 capability for collusion detection. However, the protection offered by these  
15 systems is limited.

16        For example, Ergun et al. have proved that no conventional fingerprinting  
17 system can have a better asymptotical collusion-resistance than:  $O((N/\log(N))^{\frac{1}{2}})$ —  
18 where  $O$  indicates “order of magnitude” and  $N$  is the size of the marked digital  
19 good. For example, the best fingerprinting system today, “the Improved Boneh  
20 Shaw System” achieves for a typical two hour movie a collusion resistance of only  
21 40 users. This system, just as the original “Boneh Shaw Fingeprinting System”  
22 has collusion resistance in the order of  $O(N^{\frac{1}{2}})$ .

23        The derivation of the upper bound on fingerprinting mechanisms by Ergun  
24 et al. considers embedding distinct fingerprints per copy of a digital good and

1 models collusion attacks as averaging of copies with additive noise. Aspects of  
2 their work are described in an article entitled “A Note on the Limits of Collusion-  
3 Resistant Watermarks,” authored by Ergun, Kilian, and Kumar, appearing in *Proc.*  
4 *Eurocrypt*, 1999.

5 For example, another conventional fingerprinting system (the “Boneh-  
6 Shaw, or B-S system”) defines a lower bound on collusion-resistant fingerprinting:  
7  $O(N^{1/4})$ . Assuming that the marked digital good is a typical music clip, the lower  
8 bound of the number of colluders necessary to thwart this conventional system is  
9 in the neighborhood of 4. The B-S system is a fingerprinting system that attempts  
10 to overcome the problem of collusion when fingerprinting digital goods. Aspects  
11 of the B-S system are described in an article entitled “Collusion-Secure  
12 Fingerprinting for Digital Data” authored by Boneh and Shaw, appearing in *IEEE*  
13 *Transactions on Information Theory*, Vol. 44, No. 5, September 1998.

14 Those of ordinary skill in the art are familiar with conventional techniques  
15 and technology associated with classic fingerprinting, classic fingerprinting  
16 embedding, and classic fingerprinting detecting.

17 Although the conventional fingerprinting systems provide some protection  
18 against collusion, that protection is only effective when the number of colluders is  
19 relatively small. Consequently, the confidence level that a marked digital good is  
20 free from the effects of collusion is not high.

21 Accordingly, there is a need for a new watermarking/fingerprinting  
22 technology that is more collusion resistant. A new technology is needed that  
23 increases the protection that is provided by fingerprinting (and watermarking) to  
24 detect colluders even when their numbers are large. If that numbers is several  
25 orders of magnitude greater than the conventional, then the confidence level—that

1 a marked digital good is free from the effects of collusion—would be very high  
2 indeed.

3 Moreover, there needs to be a more effective technique to identify that a  
4 digital good has had its mark removed and who removed that mark. That way,  
5 piracy crimes can be more effectively investigated.

6

## 7 SUMMARY

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9 Described herein is a technology facilitating rights enforcement of digital  
10 goods using watermarks. Also described herein is a fingerprinting technology for  
11 protecting digital goods by detecting collusion as a malicious attack and  
12 identifying the participating colluders.. With this technology, digital goods are  
13 protected by a mechanism that detects collusion and colluders. In other words,  
14 with this technology, digital goods are protected by identifying that a digital good  
15 has had its mark removed and who removed that mark. That way, piracy crimes  
can be more effectively investigated.

16 At least one implementation of the technology, described herein, is  
17 characterized by limited BORE-resistance at the protocol level. (BORE is “break  
18 once, run everywhere.”) If a digital pirate breaks one client and enables this client  
19 to avoid watermark detection, all content (both marked/protected an  
20 unmarked/free) can be played as unmarked only on that particular client. However,  
21 to enable other clients to play content as unmarked, the digital pirate needs to  
22 collude the extracted detection keys from many clients in order to create content  
23 that can evade watermark detection on all clients.

24 At least one implementation, described herein, significantly improves  
25 collusion resistance through a fingerprinting mechanism that can identify the

1 members of a malicious coalition even when their numbers are several orders of  
2 magnitude greater than what conventional collusion-protection schemes can  
3 accomplish. Consequently, the confidence level—that a marked digital good is  
4 free from the effects of collusion—may be very high indeed. Each watermark  
5 detection key is distinct for all clients and thus contains a fingerprint associated  
6 with its corresponding client. The adversary coalition colludes their keys to create  
7 the optimal estimate of the embedding watermark. However, in this scenario each  
8 member of the malicious coalition leaves a fingerprint in every digital good from  
9 which the estimated watermark is subtracted

10 Since, with this technology, a watermark detector uses its assigned  
11 “fingerprint” (as part of the secret detection key) to detect a watermark embedded  
12 in a digital good, an digital pirate (or group of such pirates) leaves her  
13 “fingerprint” when she removes (or modifies) the embedded watermark. Thus, like  
14 a burglar without gloves, the digital pirate leaves her fingerprints when she  
15 commits a crime.

16 Unlike conventional fingerprinting technologies, the technology described  
17 herein does not mark each copy of the content individually. The pirate marks the  
18 content when committing the crime.

19 This summary itself is not intended to limit the scope of this patent.  
20 Moreover, the title of this patent is not intended to limit the scope of this patent.  
21 For a better understanding of the present invention, please see the following  
22 detailed description and appending claims, taken in conjunction with the  
23 accompanying drawings. The scope of the present invention is pointed out in the  
24 appending claims.

1      **BRIEF DESCRIPTION OF THE DRAWINGS**

2      The same numbers are used throughout the drawings to reference like  
3      elements and features.

4      **Fig. 1** is a schematic block diagram showing an architecture in accordance  
5      with an implementation of the invention herein and representation of an attack on  
6      a digital good.

7      **Fig. 2** is a flow diagram showing an illustrative methodological  
8      implementation (e.g., an embedding implementation) of the invention herein.

9      **Fig. 3** is a flow diagram showing an illustrative methodological  
10     implementation (e.g., a detection implementation) of the invention herein.

11     **Fig. 4** is a flow diagram showing an illustrative methodological  
12     implementation (e.g., a fingerprint detection implementation) of the invention  
13     herein.

14     **Fig. 5** is an example of a computing operating environment capable of  
15     implementing an implementation (wholly or partially) of the invention herein.

17      **DETAILED DESCRIPTION**

18     In the following description, for purposes of explanation, specific numbers,  
19     materials and configurations are set forth in order to provide a thorough  
20     understanding of the present invention. However, it will be apparent to one skilled  
21     in the art that the present invention may be practiced without the specific  
22     exemplary details. In other instances, well-known features are omitted or  
23     simplified to clarify the description of the exemplary implementations of present  
24     invention, thereby better explain the present invention. Furthermore, for ease of  
25

1 understanding, certain method steps are delineated as separate steps; however,  
2 these separately delineated steps should not be construed as necessarily order  
3 dependent in their performance.

4 The following description sets forth one or more exemplary  
5 implementations of Collusion-Resistant Watermarking and Fingerprinting that  
6 incorporate elements recited in the appended claims. These implementations are  
7 described with specificity in order to meet statutory written description,  
8 enablement, and best-mode requirements. However, the description itself is not  
9 intended to limit the scope of this patent.

10 The inventors intend these exemplary implementations to be examples. The  
11 inventors do not intend these exemplary implementations to limit the scope of the  
12 present invention. Rather, the inventors have contemplated that the present  
13 invention might also be embodied and implemented in other ways, in conjunction  
14 with other present or future technologies.

15 An example of an embodiment of Collusion-Resistant Watermarking and  
16 Fingerprinting may be referred to as an “exemplary collusion resister.”

17

### 18 Incorporation by Reference

19 The following co-pending patent applications are incorporated by reference  
20 herein:

21 • U.S. Patent Application Serial No. 09/437,713, entitled “Methods and  
22 Systems for Fingerprinting Digital Data” filed on October 28, 1999, and  
23 assigned to the Microsoft Corporation;

- U.S. Patent Application Serial No. 09/316,899, entitled “Audio Watermarking with Dual Watermarks” filed on May 22, 1999, assigned to the Microsoft Corporation; and
- U.S. Patent Application Serial No. 09/614,660, entitled “Improved Stealthy Audio Watermarking” filed on July 12, 2000, assigned to the Microsoft Corporation.

## Introduction

The one or more exemplary implementations, described herein, of the present invention may be implemented (in whole or in part) by a collusion-resistant architecture 100 and/or by a computing environment like that shown in Fig. 5.

One problem with fingerprinting can arise when two or more entities collude. Their purpose for doing this may be to discover, modify, or remove their fingerprints and/or the embedded watermark. Those that attempt to collude are called “colluders.” A group of colluders is called a “collusion clique.”

The exemplary collusion resister is generally BORE-resistant at the protocol level. (BORE is “break once, run everywhere.”) By breaking a single client (i.e., detection entity), the digital pirate can play content as non-marked on that broken client, but needs to collude with others (other clients or other pirates who have broken into clients) to finally create content that can evade watermark detection on all clients. The exemplary collusion resister significantly improves collusion resistance through a fingerprinting mechanism that can identify the members of a collusion clique if its cardinality (i.e., number of members) is smaller than a relatively large lower bound. That relatively large lower bound is

1 several orders of magnitude greater than the best that can be achieved by  
2 conventional systems.

3 Although the term "fingerprint" is commonly understood by those of  
4 ordinary skill in the art, the terms "classic fingerprint" or "unique entity identifier"  
5 (UEid) may be used hereinafter to refer to this conventional technology (and its  
6 unique marks). This is done to avoid confusion with the use, herein, of  
7 "fingerprinting" in the descriptions of one or more implementations of the present  
8 invention. In those descriptions, the term "fingerprinting" may refer to a similar,  
9 but distinctly different technology. More specifically, the fingerprint of those  
10 descriptions operates in a manner that is more analogous to the metaphor of  
11 forensic investigation. It is more like gathering evidence for a crime scene  
12 investigation. More specifically, it is more like gathering fingerprints to help  
13 identify and catch a criminal.

14

## Overview

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16 One or more implementation of the exemplary collusion resister, described  
17 herein, limits the scope of possible collusion attacks, when compared to classic  
18 fingerprinting systems. Under optimal attacks, the size of the collusion necessary  
19 to remove the marks without leaving a detectable fingerprint is asymptotically  $K \sim$   
20  $O(N)$  without segmentation, and  $\kappa_s \sim O(N \log(N))$  with segmentation (where  $N$   
21 denotes object size,  $K$  is collusion resistance per segment, and  $\kappa_s$  is the cumulative  
22 collusion resistance across segments).

23 Classic fingerprinting has a lower bound on collusion resistance that is  
24 roughly  $O(N^{1/4})$ . Thus, by using the exemplary collusion resister, one can achieve  
25

1 content protection with collusion resistance of up to 100,000 users for a two-hour  
2 high-definition video, for example.

3 Generally, the exemplary collusion resister is part of a combined  
4 watermarking/fingerprinting (“WM/FP”) technology for dramatically improved  
5 collusion resistance. The improvement in collusion resistance is by several orders  
6 of magnitude over the conventional. With the conventional approaches, it may  
7 require, for example, a handful to a few dozen colluders to effectively remove the  
8 watermark without detection. With the exemplary collusion resister, it may  
9 require, for example, a hundred thousand (100,000) colluders to effectively  
10 remove the watermark without detection.

11 Unlike conventional fingerprinting, the fingerprints are *not* embedded in the  
12 digital good in at least one implementation of the exemplary collusion resister.  
13 Rather, they are assigned to (or associated with) a “client.” A client may be called  
14 a “detection entity” because it is an entity that may detect a watermark. Examples  
15 of a detection entity include a person, company, or other business entity.  
16 Alternatively, a “detection entity” may be a specific copy of an application (e.g., a  
17 media player), a hardware devices, or some combination. More specifically, the  
18 fingerprints are assigned to a watermark detector (WMD). In that implementation,  
19 the watermark detector uses its assigned a secret detection key—that key includes  
20 the fingerprint used to detect the watermark. The detection key is different from  
21 the embedding key. By gaining the knowledge of a small number of detection  
22 keys (through collusion or other means), a pirate cannot remove the marks from  
23 the protected digital good.

24 Herein, it is assumed that the watermarking is robust against signal-  
25 processing attacks on the protected digital good. The exemplary collusion resister

1 focuses on resisting collusion attacks against the detection keys. With the  
2 exemplary collusion resister, a pirate who has access to one detection key may be  
3 able to fool the watermark detector that corresponds to that one detection key, but  
4 cannot fool other detectors. In addition, in that process, the pirate necessarily  
5 inserts a fingerprint in the modified digital good.

6 Since the detector uses its fingerprint (as part of the detection key) to detect  
7 a watermark, an attacker (or group of such attackers) leaves her fingerprint when  
8 she removes (or modifies) the watermark. Thus, like a burglar without gloves, an  
9 attacker leaves fingerprints when she commits a crime. This also is unlike  
10 conventional fingerprinting.

11 With at least one implementation of the exemplary collusion resister,  
12 without segmentation the minimum collusion size ( $K$ ) grows linearly with the size  
13 ( $N$ ) of the marked digital good.

14 With at least one implementation of the exemplary collusion resister, a  
15 marked digital good is partitioned into segments. Each segment is marked with a  
16 different watermark. There are  $S$  segments. The watermark of each segment is  
17 associated with a single fingerprint (or alternatively a small plurality of  
18 fingerprints). Consequently, the watermark of each segment may only be detected  
19 by a single detector (or alternatively a small plurality of detectors) using its  
20 detection key. This segmentation is unlike conventional fingerprinting.

21 Only colluders with detection keys that belong to the same segment can  
22 participate in a collusion clique on that segment. Colluders with keys belong to  
23 differing segments will be of no benefit to each other (unlike in conventional FP)..  
24 With segmentation, the minimum collusion size  $K$  grows as  $O(N \log N)$ , where  $N$   
25 is object size.

1 Therefore, with or without segmentation, the exemplary collusion resister  
2 significantly improves on the best conventional asymptotic resistance to  
3 fingerprint collusion attacks of  $O(N^{1/4})$  of the B-S system (see the Background  
4 section).

5 In at least one implementation of the exemplary collusion resister, the  
6 detection keys used to detect the watermarks are potentially, relatively large.  
7 Although the key size is relatively small with respect to the entire bulk of the  
8 digital good (which can reach up to 1-2 terabytes for raw uncompressed high  
9 definition video), the size of the detection key can still be inconveniently large  
10 especially for small embedded devices (approximately in the range of 1KB).  
11 Therefore, the fingerprint is compressed in a sense. More specifically, smaller  
12 detection keys are generated during the detection key creation that approximately  
13 but correctly correlates with a specific embedded watermark.

14

### Traditional Spread-Spectrum Watermarking

15

16 A media signal (i.e., a digital good) to be watermarked  $x \in \mathbb{R}^N$  can be  
17 modeled as a random vector, where each element of  $x$  is a normal random variable  
18 with standard deviation  $A$  (i.e.,  $x_j \sim \mathcal{N}(0, A)$ ). For example, for audio signals  $A$   
19 ranges typically within  $A \in \{5, 15\}$ , after necessary media preprocessing steps. A  
20 “watermark key”  $w$  is defined as a spread-spectrum sequence vector  $w \in \{\pm 1\}^N$ ,  
21 where each element  $w_j$  is usually called a “chip.” The marked signal  $y$  is created  
22 by vector addition  $y = x + w$ .

23 Let  $w \cdot v$  denote the normalized inner product of vectors  $w$  and  $v$  (i.e.  $w \cdot v$   
24  $\equiv N^{-1} \sum w_j v_j$ , with  $w^2 \equiv w \cdot w$ ). For example, for  $w$  as defined above we have  $w^2 =$   
25 1. We assume that the client (e.g., a media player) contains a watermark (WM)

1 detector that receives a modified version  $\hat{y}$  of the watermarked signal  $y$ . The WM  
2 detector performs a correlation (or matched filter) test  $d_W = \hat{y} \cdot w$ , and decides that  
3 the watermark is present if  $d_W > \delta_W$ , where  $\delta_W$  is the detection threshold that  
4 controls the tradeoff between the probabilities of false positive and false negative  
5 decisions.

6 Under no malicious attacks or other signal modifications (i.e.  $\hat{y} = y$ ), if the  
7 signal  $y$  has been marked, then  $d_W = 1 + g_W$ , where the “detection noise”  $g_W$  is a  
8 normal zero-mean random variable with variance  $\sigma_{gW}^2 = A^2/N$ . Otherwise, the  
9 correlation test yields  $d_W = 0 + g_W$ . For equal probabilities of false positives and  
10 false negatives, we should set  $\delta_W = 1/2$ . For robustness against attacks, the signal  
11 domain  $x$  are appropriately chosen, and some small modifications on the  
12 watermark pattern may be necessary.

13 For the purpose of describing implementations of the present invention, it is  
14 assumed that such precautions have been taken care of in the design of the WM  
15 detector, so such attacks are disregarded. For an overview of techniques that use  
16 this paradigm for hiding data in audio, images, and video, see “Information Hiding  
17 Techniques for Steganography and Digital Watermarking,” Katzenbeisser and  
18 Petitcolas, Eds., Boston, MA: Artech House 2000.

19 Traditional spread-spectrum watermarking systems detect watermarks  
20 using a key  $w$  that is in essence a secret watermarking key (SWK). Typically, in  
21 many rights enforcement schemes, the watermark detection is done at the client  
22 (e.g., a media player), which must then have access to the SWK. An adversary  
23 (e.g., a digital pirate) can thus recreate the original content if they succeed in  
24 obtaining the SWK. For example, if a pirate breaking into a detector, she may  
25 recover/discover the SWK. Armed with this information, the digital pirate may

1 recreate the original digital good which is not protected and thus, can be used and  
2 distributed as “free unprotected” content.

3

### 4 Exemplary Collusion-Resistant Architecture

5 In the exemplary collusion resister, the watermark detection key (WDK) is  
6 different from the secret watermarking key (SWK) of traditional watermarking.  
7 Consequently, breaking into a single detector does not provide the pirate enough  
8 information to remove the watermark  $w$ .

9 **Fig. 1** illustrates the collusion-resistant architecture 100. The architecture  
10 includes a key generations entity 110, marker 120, fingerprint detector 130, and  
11 watermark detector 140. Although Fig. 1 also shows an attacker 150, the attacker,  
12 of course, is not part of the architecture. However, their actions are anticipated by  
13 this architecture.

14 Fig. 1 illustrates the key generation entity 110. It includes a pseudorandom  
15 key generator (PRKG) 112 for pseudorandomly generating the SWK  $w$ . This  
16 SWK  $w$  is combined (at 122) with the media signal  $x$  (i.e., the digital good) by the  
17 marker to produce the marked signal  $y$  (i.e., marked digital good). This marked  
18 signal  $y$  is publicly distributed by the content owners. To this extent, the media  
19 signal  $x$  (in Fig. 1,  $x$  is input into marker 120) may be watermarked in much the  
20 same manner as in traditional spread-spectrum watermarking.

21 However, in addition to generating the watermark, the key generation entity  
22 100 generates an individualized “watermark carrier” ( $c_i$ ) for each detector  $i$   
23 (alternatively, for each client  $i$ ). More specifically, a pseudorandom key generator  
24 (PRKG) 114 generates  $c_i$ . That watermark carrier  $c_i$  is combined (at 116) with the  
25 SWK  $w$  to produce an individualized watermark detection key (WDK  $h_i$ ).

1 Thus, for each watermark detector  $i$  (for example, the watermark detector  
2 140 of Fig. 1), an individualized watermark detection key (WDK  $h_i$ ) is created.  
3 That individualized key ( $h_i$ ) is created from the SWK  $w$ . An example of a manner  
4 in which  $h_i$  is created from the SWK  $w$  is as follows:

- 5 • Let  $C = \{c_{ij}\}$  denote an  $m \times N$  matrix, where  $c_{ij} \in \mathcal{R}$ ,  $c_{ij} \sim \mathcal{N}(0, B)$ .  
6 In other words, each entry is a zero-mean normal random variable  
7 with standard deviation  $\sigma_c = B$ .
- 8 • Each row  $i$  contains a “watermark carrier,” denoted by  $c_i$ . The  $i$ th  
9 WDK is defined as  $h_i = w + c_i$ .

10 The purpose of the watermark carrier ( $c_i$ ) is to hide the SWK  $w$  in  $h_i$  so that  
11 knowledge of  $h_i$  does not imply knowledge of  $w$ , as long as  $B$  is large enough. In  
12 other words, no detector contains the SWK  $w$ , but rather a modified version of it.  
13 No conventional technique does this.

14 The key generation entity 110 produces at least two “keys,” and they  
15 include SWK  $w$  and  $h_i$ .

16 Because the watermark detectors use correlation-based watermark  
17 detection, they can still detect the watermark in a marked content  $y$ , as long as the  
18 number of chips  $N$  is large enough to attenuate the noise introduced by the  
19 watermark carriers  $c_i$ .

20 The watermark detection process (by, for example, that watermark detector  
21 140 of Fig. 1) is carried out by correlating the received signal  $\hat{y}$  (which may be  
22 modified) with  $h_i$ . This  $h_i$  (being used by this detector) is the individualized WDK  
23 assigned to the detector doing the detection. More generally, the individualized  
24 WDK is assigned to a “client,” which is a person, company, or other business  
25

1 entity. Alternatively, a “client” may be a specific copy of an application (e.g., a  
2 media player).

3 The watermark detector generates a detector output  $d_W = \hat{y} \cdot h_i$ . This is  
4 labeled “mark present/absent decision” in Fig. 1. Similar to traditional spread-  
5 spectrum watermarking, if  $\hat{y}$  was marked, then  $d_W = 1 + g_W$ ; otherwise  $d_W = 0 +$   
6  $g_W$ . The difference is that now  $g_W$  is a function of both the media  $x$  and the  
7 watermark carrier  $c_i$ . If there are no attacks (i.e.,  $\hat{y} = y$ ) then:

8 
$$d_W = y \cdot h_i = (x + w) \cdot (w + c_i) = 1 + g_W, \text{ where}$$

9 
$$g_W = x \cdot (w + c_i) + w \cdot c_i$$

10 from which is computed the detection noise variance as  $\sigma_{g_W}^2 = (A^2 + B^2 + A^2B^2)/N$ .

11 Fig. 1 shows the watermark detector (WMD) 140. As described above, the  
12 WMD 140 correlates (with a correlation detector 142) a potentially marked signal  
13  $\hat{y}$  with individualized WDK  $h_i$  (i.e.,  $d_W = \hat{y} \cdot h_i$ ). Again, that individualized WDK  
14  $h_i$  is specifically associated with the WMD 140. More particularly, it may be  
15 associated with a client.

16 The WMD 140 decides that the content of the potentially modified digital  
17 good is marked if  $d_W > \delta_W$ . The probability of false positives (i.e., identifying an  
18 unmarked content as marked) is denoted as  $\varepsilon_l$ , which should be very small. (e.g.,  
19  $\varepsilon_l = 10^{-9}$ ).

20 Fig. 1 shows the attacker 150. Although this illustrates only one attacker, it  
21 represents one or more attackers with many individualized WDKs. In other  
22 words, this attacker engages in a collusion attack. Regardless, the attacker—  
23 whether alone or working with others—is considered a colluder, herein, because it  
24 is a collusion attack using multiple WDKs.

1        The attacker 150 breaks into  $K$  clients. This may be accomplished by  
2 physically breaking into the client's machines (e.g., code debugging, reverse  
3 engineering, etc.) or by using a sensitivity attack. Once in, the attacker 150  
4 extracts their individualized WDKs  $\{h_i, i = 1, \dots, K\}$ . The attacker creates an attack  
5 vector  $v$  as an optimal estimate of the SWK  $w$  given the collusion set  $\{h_i, i =$   
6  $1, \dots, K\}$ . This estimate is the product of an optimal mark estimator 152 of the  
7 attacker 150. Furthermore, the attacker creates (with a signal combiner 154) an  
8 attacked signal  $\hat{y} = y - v$ . The closer  $v$  estimates  $w$ , the more that attacker will  
9 "clean" the watermark in generating  $\hat{y}$ .

10      The symbol  $\varepsilon_2$  will denote the probability that a watermark chip is  
11 incorrectly estimated by the attacker (i.e.,  $\varepsilon_2 = \Pr[v_j \neq w_j]$ ). The attacker aims at  
12 forcing  $\varepsilon_2$  as small as possible. In the exemplary collusion register, the system  
13 parameters are designed such that  $\varepsilon_2$  is as close to  $\frac{1}{2}$  as possible.

14      Fig. 1 shows the fingerprint detector (FPD) 130. It recovers the attack  
15 vector  $v$  from an attacked content  $\hat{y}$  and the originally marked content  $y$  by  $v = \hat{y} -$   
16  $y$ . Unlike the WMD (like WMD 140), the FPD 130 has access to the watermark  
17 carrier matrix  $C$ . Thus, the FPD 130 correlates  $v$  (with a correlation detector 132)  
18 with a suspect watermark carrier  $c_i$  (i.e., it computes  $d_F = v \cdot c_i$ ) and decides that  
19 the  $i$ th client is part of the collusion if  $d_F > \delta_F$  (i.e.,  $\delta_F$  is the FPD threshold).  
20 Compared to the WMD, the FPD has less noise in the correlated vectors, and thus  
21 the FPD collusion resistance is much higher than that of the WMD.

22      The symbol  $\varepsilon_3$  will denote the probability of false positives in the FPD (i.e.,  
23 incriminating a client that was not in the collusion set). Therefore,  $\varepsilon_3$  should be  
24 very small.

1      **Collusion Attacks on Detection Keys**

2      Consider a collusion clique of size  $K$  that acquired  $K$  different WDKs  $h_i$   
3      (possibly, by breaking into  $K$  clients and extracting the WDKs). This collusion  
4      clique may include only one attacker with  $K$  different WDKs. Alternatively, it may  
5      include multiple attackers with  $K$  different WDKs

6      For the purpose of describing collusion attacks, an optimal attack is based  
7      on that set of keys  $\{h_i, i = 1, \dots, K\}$ . Without loss of generality, it is assumed that  
8      those extracted WDKs (with indices 1 to  $K$ ) are the ones in the collusion.

9

10     **The Optimal Attack**

11     The attacker's goal is to estimate the SWK key  $w$  by an attack vector  $v$ , so that  
12     the modified signal  $\hat{y} = y - v$  will not show significant correlation with any  
13     watermark detector  $j$  (i.e., even for  $j > K$ ). The best job that attacker can  
14     possibly perform is given by  $v = \text{sign}\left(\sum_{i=1}^K h_i\right)$ .

15

16     **WMD Performance**

17     Given the optimal attack above, the average estimation error in the attack  
18     vector ( $\varepsilon_2 = \Pr[v_j \neq w_j]$ ) may be computed, for a collusion of size  $K$ , by:

20

21     
$$\varepsilon_2 = \frac{1}{2} \text{erfc}\left(\frac{\sqrt{K}}{B\sqrt{2}}\right) \left(1 - \frac{1}{2} \exp\left(-\frac{K}{2B^2}\right)\right) \quad (1)$$

22

23

24     Given  $\varepsilon_2$ , the efficiency of a subtraction attack ( $\hat{y} = y - v$ ) may be evaluated  
25     for the optimal attack vector  $v$ . Since  $E[v \cdot w] = \Pr[v_j = w_j] - \Pr[v_j \neq w_j] = 1 - 2\varepsilon_2$ ,

1 one can see that after attack the expected output of the watermark correlation  
 2 detector drops to  $E[d_w] = 2\varepsilon_2$ . The attacker may attempt a stronger subtraction  
 3 attack, of the form  $\hat{y} = y - \beta v$ , with  $\beta > 1$ , because that would bring the watermark  
 4 detector output further down to  $E[d_w] = 2\beta\varepsilon_2 - (\beta - 1)$ . As long as  $\beta$  is not too large,  
 5 the attacked content  $\hat{y}$  may be acceptable to users.

### 6 Collusion Size

7 In order to reduce the correlation value to  $E[d_w] = \theta$ , where  $\theta$  is typically  
 8 much smaller than  $\delta_w$ , the adversary (i.e., digital pirate, attacker, etc.) needs to  
 9 collude  $K$  WDKs, with

$$11 \quad 12 \quad K = 2B^2 \left[ \operatorname{erf}^{-1} \left( \frac{1-\theta}{\beta} \right) \right]^2 \quad 13 \quad (2)$$

14 To make the attacker's job more difficult, the parameter  $B$  is increased since  
 15  $K$  grows with  $B^2$ .  $B$  is the standard deviation of the watermark carrier  $c$ . In doing  
 16 so, however, the detection noise variance is increased. The detection noise  
 17 variance is  $\sigma_{gW}^2 = (A^2 + B^2 + A^2B^2)/N$ , where  $A$  is the standard deviation of the  
 18 original content  $x$  and  $N$  is the size of the digital good. For a given  $\sigma_{gW}^2$ , we can  
 19 determine that the probability of false positives  $\varepsilon_l = \Pr[d_w > \delta_w \mid \text{object is not}$   
 20 marked] by:

$$22 \quad 23 \quad \varepsilon_l = \frac{1}{2} \operatorname{erfc} \left( \frac{\delta_w \sqrt{N}}{\sqrt{2(A^2 + B^2 + A^2B^2)}} \right) < \frac{1}{2} \exp \left( -\frac{\delta_w^2 N}{2(A^2 + B^2 + A^2B^2)} \right) \quad 24 \quad (3)$$

1 We note that if  $\sigma_{gw}^2 = 1/2$ , then  $\varepsilon_l$  is also the probability of false negatives  
 2 (i.e., the probability of a WMD not detecting a marked object that was not  
 3 attacked.)

4 From the result above, the object size  $N$  required to achieve a given  $\varepsilon_l$  is

$$5 \quad 6 \quad N = \frac{2[A^2 + B^2(1+A^2)]}{\delta_w^2} [erf^{-1}(1-2\varepsilon_l)]^2 \quad (4)$$

8 By combining the result above with that in Lemma 3, we conclude that the  
 9 collusion size  $K$  grows linearly with object size  $N$  (i.e.,  $K \sim O(N)$ ). More  
 10 specifically:

$$11 \quad 12 \quad K = N \frac{\delta_w^2}{1+A^2} \left[ \frac{erf^{-1}\left(\frac{1-\vartheta}{\beta}\right)}{erf^{-1}(1-2\varepsilon_l)} \right]^2 \quad (5)$$

16 Equation 5 allows for quick computation of the object size  $N$  necessary to  
 17 achieve any desired collusion resistance  $K$ .

## 18 Fingerprint Detection

20 Fingerprint detection (such as by fingerprint detector (FPD) 130 of Fig. 1)  
 21 has less noise in its correlation output. Therefore, it should be able to identify the  
 22 indices  $i$  corresponding all the WDKs  $h_i$  used in the collusion by the attacker, even  
 23 if the collusion size  $K$  is large enough to fool all clients, as computed above.

1        The FPD knows the marked content  $y$ , the attacked version  $\hat{y}$ , and the  
 2        watermark carriers  $c_i$ . It computes the correlation  $d_F = (\hat{y} - y) \cdot c_i$ , and decides that  
 3        the  $i$ th client participated in the collusion if  $d_F > \delta_F$ . Assuming the attack model of  
 4        discussed previously,  $\hat{y} = y - \beta v$ , the FPD output can be written as:

$$6 \quad d_F = (\hat{y} - y) \bullet c_i = \beta(v \bullet c_i) = E[d_F] + g_F \quad (6)$$

7  
 8        where  $g_F$  is the zero-mean FPD correlation noise. The most critical error for  
 9        the FPD is a false positive (i.e., incriminating a WDK  $i$  that did not participate in  
 10      the collusion). The probability  $\varepsilon_3$  of that error is given, for an object of size  $N$ , by:

$$11 \quad 12 \quad \varepsilon_3 = \frac{1}{2} \operatorname{erfc} \left( \frac{\delta_F \sqrt{N}}{\sqrt{2} \beta B} \right) < \frac{1}{2} \exp \left( -\frac{\delta_F^2 N}{2 \beta^2 B^2} \right) \quad (7)$$

13  
 14        As expected,  $\varepsilon_3 \ll \varepsilon_1$  (usually by several orders of magnitude), since the  
 15        argument in  $\operatorname{erfc}(\cdot)$  for  $\varepsilon_3$  is approximately  $(A\delta_F)/(\beta\delta_W)$  times larger than the  
 16        argument in  $\operatorname{erfc}(\cdot)$  for  $\varepsilon_1$ . Thus, by choosing  $B$  and  $N$  for a sufficiently low  $\varepsilon_1$ , a  
 17        negligibly low probability  $\varepsilon_3$  of false positives in the FPD is achieved.

18        To compute the detection performance of the FPD, its expected output  
 19        should be determined when a carrier  $c_i$  is correlated such that  $h_i$  was part of the  
 20        collusion. It can be seen that  $E[d_F] = \beta E[z_j]$ , where:

$$22 \quad 23 \quad z_j = v_j c_{ij} = \operatorname{sign}[s_j] c_{ij}, \text{ with } s_j = w_j + b_j, \text{ and } b_j = \frac{1}{K} \sum_{m=1}^K c_{mj} \quad (8)$$

1 Thus, a collusion of size  $K$  produces:

2

3 
$$E[d_F] = \beta \frac{B}{\sqrt{K}} \sqrt{\frac{2}{\pi}} \exp\left(-\frac{K}{2B^2}\right) \quad (9)$$

4

5 Given the expected FPD output,  $\delta_F = E[d_F]/2$ , which determines the  
6 probability  $\eta$  of false negatives (i.e., the probability that a key index  $i$  in the  
7 collusion will not be detected). An object of size  $N$  produces:

8

9 
$$\eta = \frac{1}{2} \operatorname{erfc}\left(\frac{(E[d_F] - \delta_F)\sqrt{N}}{\sqrt{2}\beta B}\right) \quad (10)$$

10

11

12 From the results above, it can be computed that the object size  $N$  necessary  
13 to achieve a desired probability  $\eta$  of false negatives in the FPD. For simplicity,  
14 assume that the FPD threshold is set in the middle (i.e.,  $\delta_F = E[d_F]/2$ ). The  
15 minimum collusion size (as discussed above) is  $K = 2B^2\mu^2$ , where  $\mu = \operatorname{erf}^{-1}(1-\theta)$   
16 is fixed for a fixed attack efficiency (i.e., a fixed  $\theta$ ). Therefore, as  $B$  increases,  
17 the attacker has to increase  $K$  proportionally to  $B^2$ . The object size  $N$  required to  
18 achieve a given  $\eta$  is

19

20 
$$N = K \frac{\pi}{2} \left[ \operatorname{erf}^{-1}(1-2\eta) \exp(\mu^2) \right]^2 \quad (11)$$

21

22

23

24

25

1    **Segmentation**

2    In the exemplary collusion resister, watermarks protect the content and  
3    fingerprints enable the content owner to identify a clique of clients that launched  
4    an attack to remove the watermark. This unique property of the implementation of  
5    the present invention provides an avenue to add multiple watermarks in the object  
6    (i.e., digital good) and enforce the adversary to create cliques independently for  
7    each watermark.

8    More formally, the exemplary collusion resister divides the protected object  
9    into  $S$  segments ( $S_s$ ,  $s=1\dots S$ ) and watermark each of them with a distinct spread  
10   spectrum sequence ( $w_s$ ,  $s=1\dots S$ ). Per each segment  $S_s$ , the exemplary collusion  
11   resister uses  $m$  distinct WDKs ( $h_i^{[s]}$ ,  $i=1\dots m$ ). Each client gets a single WDK  $h_i^{[s]}$   
12   that corresponds to exactly one segment. Alternatively, it may correspond to  
13   multiple segments.

14   With this segmentation implementation, a protected object may be defeated  
15   if watermarks are removed from all segments, while no fingerprints are introduced  
16   in the process. The collusion-resistance  $\kappa_s$  of this segmentation implementation  
17   with  $S$  segments equals the expected number of clients needed to use their WDKs  
18   in  $S$  collusion cliques (a clique per segment) to defeat this segmentation  
19   implementation.

20   The probability  $q$  is the probability that after distributing  $\kappa_s$  keys into  
21   segments, no segment contains less than  $K$  keys. Assume:  $S \gg 1$ ,  $K$  is a relatively  
22   small constant, and  $\frac{\kappa_s}{SK} \gg 1$ . Then:  $\kappa_s = S[\ln(S) - \ln(2\epsilon_4)]$  then  $q > 1 - \epsilon_4$ .

23   Collectively, the key generation entity 110 and marker 120 of Fig. 1  
24   perform the segmentation. Rather than redundantly embedding the same  
25

1 watermark in the media signal  $x$ , the key generation entity 110 and marker 120  
2 embeds a watermark in each segment of the signal that are independent of the  
3 watermarks in other segments. Also, the key generation entity 110 generates a set  
4 of unique WDKs for each segment; whereas each WDK is associated only with  
5 the watermark embedded in the corresponding segment.

6 Of course, segments may be repeated within the signal. Therefore, when  
7 reference is made to “each” segment having unique or independent properties, this  
8 refers to unrepeated segments.

9  
10 How many segments per object?

11 Since collusion resistance within a single segment is  $K \sim N$ , where  $N = N_O/S$   
12 is the length of the segment, and collusion resistance achieved over  $S$  segments is  
13  $\kappa_s = S \ln(S)$  for small  $K$ , then the objective is to have as short as possible segments  
14 in order to: (i) maximize overall collusion resistance  $\kappa_s$  and (ii) reduce the storage  
15 space for a single WDK  $H_i$ .

16 On the other hand, due to security measures for hiding  $w$  within a  
17 watermark carrier  $c_i$ , there exists a lower bound on the watermark carrier  
18 amplitude  $B$ , commonly set to  $B \geq A$ . Selection of  $B$  uniquely identifies the  
19 segment length  $N$  with respect to a desired probability of a false alarm  $\varepsilon_1$  under the  
20 optimal sign (mean( $h$ )) attack. Such a setup directly impacts the maximal collusion  
21 size per segment  $K$  and maximal efficacy of the adversary in guessing SWK bits 1  
22 -  $\varepsilon_2$ . It also traces the guidelines for FPD detection performance  $\varepsilon_3$  and  $\eta$ .

1      **Key Compression**

2      The exemplary collusion resister requires a relatively large storage space  
3      for the detection keys. Generally, it is quite difficult to compress the sum of two  
4      independent pseudo-random sequences, such that it is hard to infer the individual  
5      sequences. However, the exemplary collusion resister has a need to independently  
6      create pseudo-random keys (e.g., SWK  $w$  and watermark carrier  $c_i$ ) in a secure  
7      environment, but store their sum (e.g., WDK  $h_i$ ) in an insecure environment (e.g.,  
8      on a client). Furthermore, this needs to be done so that the individual keys cannot  
9      be inferred from the sum.

10     Generally, a detection key (e.g., WDK  $h_i$ ) may be about the size of the  
11    digital good itself. For realistic loads, the length of the detection key may be in the  
12    order of  $10^5$  bytes, which may be too much data for certain embedded devices.

13     Above, it was described that the WDK of client  $i$  is created as  $h_i = c_i + w$ ,  
14    where  $c_i$  and  $w$  are mutually independent. Instead, the exemplary collusion resister  
15    can generate the watermark detection key from a short seed using any standard  
16    cryptographically secure pseudo-random key generator, and per chosen  $w$  do  
17    sieving and select only those seeds for which the resulting long sequence (denoted  
18    as  $s$ ) has the property that  $s \cdot w \geq 1$ , thus, inferring  $h_i = s$ . The deviation of  $s \cdot w$  is  
19    roughly  $\sigma^* = B\sqrt{N_O}$ , so the probability for a randomly chosen seed to meet this  
20    criterion is  $\varepsilon^* = \frac{1}{2} \operatorname{erfc}\left(N_O / (B\sqrt{2})\right)$ .

21     Fig. 1 shows an  $h_i$  (individualized WDK) estimator 118 of the key  
22    generation entity 110 in Fig. 1. It generates a short-key estimation ( $s_i$ ) for the  
23    individualized WDK ( $h_i$ ). Consequently,  $s_i \approx h_i$ . In other words, the short-key

1 estimation ( $s_i$ ) of the individualized WDK is approximately equal to the  
2 individualized WDK ( $h_i$ ).

3

4 Alternative Key Compression

5 The exemplary collusion resister may generate the key from a short seed  
6 using any standard cryptographically secure pseudorandom key generator, and per  
7 a chosen  $w$  do sieving and “pick” only those seeds for which the resulting long  
8 sequence (call it  $s$ ) has the property that  $s \cdot w > 2n/3$ , say (recall that it must be  
9 bigger than the detection threshold  $\delta_l = n/2$ ).

10 The deviation of  $s \cdot w$  is  $\sigma^* = n^{1/2}B/2$ , so the probability for a randomly  
11 chosen seed to meet this criteria is  $\varepsilon^* < \exp(-u^2/2)$ , where  $u\sigma^* = 2n/3$ . So,  $\varepsilon^* < \exp(-$   
12  $n/B^2)$ . This may not be sufficient for a whole object ( $n=10^6$ ).

13 However, the exemplary collusion resister may do a piecewise generation  
14 by breaking the whole sequence into sub-sequences of size say  $n' = 20B^2$  elements,  
15 where each element is half a byte. So the length of each subsequence is  $4n'$  bits.  
16 The exemplary collusion resister may try on the average  $\exp(u^2/2)$  seeds until a  
17 good one (about a million in the above example) is found. Typically, this is done  
18 once per client.

19 If the exemplary collusion resister uses a random access PRNG, it may  
20 jump on the average  $\exp(u^2/2)$  phases until a good one is found. A pointer of this  
21 magnitude has  $\log \exp(u^2/2) = n'/B^2$  bits, so the compression ratio is  $4B^2$ . For  
22 example, for  $B=10$ , the exemplary collusion resister get compression ratio of 400.  
23 If  $n=10^6$ , then a detection key is a size 10Kbyte per client.

1        This key can be stored on a smart card, for example. The key is good for a  
2        multitude of different goods (e.g., 800 movies) (the error probability in estimating  
3         $w$  by averaging  $k$  movies is  $\exp(-k/(8A^2))$ .

4

### 5        Methodological Implementation of the Exemplary Collusion Resister

6        Figs. 2-4 show methodological implementations of the exemplary collusion  
7        resister performed by the collusion-resistant architecture 100 (or some portion  
8        thereof). These methodological implementations may be performed in software,  
9        hardware, or a combination thereof.

10       **Fig. 2** shows methodological implementation of the exemplary collusion  
11       resister performed by, for example, the key generation entity 110 and marker 120  
12       of the collusion-resistant architecture 100.

13       At 210 of Fig. 2, the exemplary collusion resister generates a  
14       pseudorandom watermark  $w$  for embedding into a media signal  $x$  (i.e., a digital  
15       good).

16       At 212, it generates an individual pseudorandom watermark carrier  $c_i$  where  
17       the carrier and the watermark are based on different seeds. In other words, one is  
18       not inferred from the other. Each carrier is associated with an individual client  
19       (e.g., person, business, company, detector hardware, detector software, etc.). The  
20       carriers are stored in a carrier matrix (C) and each entry in that matrix is associated  
21       with an individual client.

22       At 214, an individualized watermark detection key (WDK  $h_i$ ) is generated  
23       by combining the watermark and an individual watermark carrier. Consequently,  
24       each individualized WDKs is associated with an individual client. At 216, the

1 exemplary collusion resister produces a short-key estimation ( $s_i$ ) of the  
2 individualized WDKs.

3 At 218 of Fig. 2, the media signal  $x$  is marked to produce a marked media  
4 signal  $y$ . In other words,  $y = x + w$ . At 220, the marked signal is distributed. The  
5 process ends at 222.

6 NOTE: No fingerprint is embedded into the media signal. Unlike classic  
7 fingerprinting, no fingerprint code is embedded into the signal. The WDKs are not  
8 embedded into the signal. The watermark carriers are not embedded into the  
9 signal. The marked signal includes the watermark, but it does not include a  
10 fingerprint.

11 However, since the individualized WDKs are generated in part by the  
12 watermarks, then only those clients having a particularly associated individualized  
13 WDK can access (detect, modify, etc.) the particularly associated watermark in the  
14 marked signal.

15 If no segmentation is employed, then these blocks 210-222 are repeated for  
16 each copy of a digital good. Each copy is associated with a specific client. That  
17 specific client is associated with the individualized WDK for that specific copy.

18 If segmentation is employed, then blocks 210-218 are repeated for each  
19 segment of the media signal  $x$ . Each segment has its own watermark embedded  
20 therein. Consequently, each segment also has an individualized WDK associated  
21 with it. If segmentation is employed, then the copy of the marked signal  
22 distributed in block 220 may be an identical for everyone. This is because clients  
23 can only access (detect, modify, etc.) watermarks in their associated segment.

1      **Fig. 3** shows methodological implementation of the exemplary collusion  
2      resister performed by, for example, the watermark detector 140 of the collusion-  
3      resistant architecture 100.

4      Since watermark detection is typically done in an insecure environment, it  
5      has no access to the original unmarked signal ( $x$ ), the original marked media signal  
6      ( $y$ ), carrier index ( $C$ ), and the like. Typically, watermark detection is performed in  
7      “real-time” by detectors (e.g., desktop hardware and/or software) with pedestrian  
8      computing power.

9      At 310 of Fig. 3, the exemplary collusion resister obtains a subject media  
10     signal. It is not known whether this signal has been modified or not. At 312, the  
11     individualized WDK associated with a specific client is obtained. The  
12     individualized WDK may be hardwired, it may be in firmware, it may be stored in  
13     a memory, or the like. It may be encrypted or hidden. Regardless of where the  
14     individualized WDK is obtained, it does not come from the subject media signal.  
15     The exemplary collusion resister does not obtain the individualized WDK from the  
16     signal that it is examining.

17     At 314, it determines whether a watermark exists in the subject media  
18     signal using the individualized WDK associated with the specific client. At 316, it  
19     indicates the results of such determination.

20     At 318, it indicates whether a watermark in the subject signal is suspected  
21     of being modified. If so, that may trigger the fingerprint detection (see Fig. 4). At  
22     320, the process ends.

23     **Fig. 4** shows methodological implementation of the exemplary collusion  
24     resister performed by, for example, the fingerprint detector 130 of the collusion-  
25     resistant architecture 100.

1 At 410 of Fig. 4, the exemplary collusion resister obtains a media signal  
2 suspected of being modified. At 412, it also obtains original marked media signal  
3 ( $y$ ). At 414, it also obtains the watermark carriers ( $c_i$ ) for carrier index ( $C$ ).

4 Since fingerprint detection is typically done in a secure environment, it can  
5 have access to the original marked media signal and carrier index ( $C$ ). Typically,  
6 fingerprint detection is performed “offline” by powerful computers with sufficient  
7 resources.

8 At 416 of Fig. 4, the exemplary collusion resister determines whether the  
9 suspected media signal to has “fingerprints” of colluders by correlating the  
10 watermark carrier such that an individual WDK was part of the collusion. At 418,  
11 it indicates the presences of “fingerprints” and identifies the colluders. At 420, the  
12 process end.

13

### 14 Exemplary Computing System and Environment

15 Fig. 5 illustrates an example of a suitable computing environment 900  
16 within which an exemplary collusion resister, as described herein, may be  
17 implemented (either fully or partially). The computing environment 900 may be  
18 utilized in the computer and network architectures described herein.

19 The exemplary computing environment 900 is only one example of a  
20 computing environment and is not intended to suggest any limitation as to the  
21 scope of use or functionality of the computer and network architectures. Neither  
22 should the computing environment 900 be interpreted as having any dependency  
23 or requirement relating to any one or combination of components illustrated in the  
24 exemplary computing environment 900.

1        The exemplary collusion resister may be implemented with numerous other  
2 general purpose or special purpose computing system environments or  
3 configurations. Examples of well known computing systems, environments,  
4 and/or configurations that may be suitable for use include, but are not limited to,  
5 personal computers, server computers, thin clients, thick clients, hand-held or  
6 laptop devices, multiprocessor systems, microprocessor-based systems, set top  
7 boxes, programmable consumer electronics, network PCs, minicomputers,  
8 mainframe computers, distributed computing environments that include any of the  
9 above systems or devices, and the like.

10      The exemplary collusion resister may be described in the general context of  
11 computer-executable instructions, such as program modules, being executed by a  
12 computer. Generally, program modules include routines, programs, objects,  
13 components, data structures, etc. that perform particular tasks or implement  
14 particular abstract data types. The exemplary collusion resister may also be  
15 practiced in distributed computing environments where tasks are performed by  
16 remote processing devices that are linked through a communications network. In  
17 a distributed computing environment, program modules may be located in both  
18 local and remote computer storage media including memory storage devices.

19      The computing environment 900 includes a general-purpose computing  
20 device in the form of a computer 902. The components of computer 902 can  
21 include, by are not limited to, one or more processors or processing units 904, a  
22 system memory 906, and a system bus 908 that couples various system  
23 components including the processor 904 to the system memory 906.

24      The system bus 908 represents one or more of any of several types of bus  
25 structures, including a memory bus or memory controller, a peripheral bus, an

1 accelerated graphics port, and a processor or local bus using any of a variety of  
2 bus architectures. By way of example, such architectures can include an Industry  
3 Standard Architecture (ISA) bus, a Micro Channel Architecture (MCA) bus, an  
4 Enhanced ISA (EISA) bus, a Video Electronics Standards Association (VESA)  
5 local bus, and a Peripheral Component Interconnects (PCI) bus also known as a  
6 Mezzanine bus.

7 Computer 902 typically includes a variety of computer readable media.  
8 Such media can be any available media that is accessible by computer 902 and  
9 includes both volatile and non-volatile media, removable and non-removable  
10 media.

11 The system memory 906 includes computer readable media in the form of  
12 volatile memory, such as random access memory (RAM) 910, and/or non-volatile  
13 memory, such as read only memory (ROM) 912. A basic input/output system  
14 (BIOS) 914, containing the basic routines that help to transfer information  
15 between elements within computer 902, such as during start-up, is stored in ROM  
16 912. RAM 910 typically contains data and/or program modules that are  
17 immediately accessible to and/or presently operated on by the processing unit 904.

18 Computer 902 may also include other removable/non-removable,  
19 volatile/non-volatile computer storage media. By way of example, Fig. 5  
20 illustrates a hard disk drive 916 for reading from and writing to a non-removable,  
21 non-volatile magnetic media (not shown), a magnetic disk drive 918 for reading  
22 from and writing to a removable, non-volatile magnetic disk 920 (e.g., a “floppy  
23 disk”), and an optical disk drive 922 for reading from and/or writing to a  
24 removable, non-volatile optical disk 924 such as a CD-ROM, DVD-ROM, or other  
25 optical media. The hard disk drive 916, magnetic disk drive 918, and optical disk

1 drive 922 are each connected to the system bus 908 by one or more data media  
2 interfaces 926. Alternatively, the hard disk drive 916, magnetic disk drive 918,  
3 and optical disk drive 922 can be connected to the system bus 908 by one or more  
4 interfaces (not shown).

5 The disk drives and their associated computer-readable media provide non-  
6 volatile storage of computer readable instructions, data structures, program  
7 modules, and other data for computer 902. Although the example illustrates a hard  
8 disk 916, a removable magnetic disk 920, and a removable optical disk 924, it is to  
9 be appreciated that other types of computer readable media which can store data  
10 that is accessible by a computer, such as magnetic cassettes or other magnetic  
11 storage devices, flash memory cards, CD-ROM, digital versatile disks (DVD) or  
12 other optical storage, random access memories (RAM), read only memories  
13 (ROM), electrically erasable programmable read-only memory (EEPROM), and  
14 the like, can also be utilized to implement the exemplary computing system and  
15 environment.

16 Any number of program modules can be stored on the hard disk 916,  
17 magnetic disk 920, optical disk 924, ROM 912, and/or RAM 910, including by  
18 way of example, an operating system 926, one or more application programs 928,  
19 other program modules 930, and program data 932. Each of such operating  
20 system 926, one or more application programs 928, other program modules 930,  
21 and program data 932 (or some combination thereof) may include an embodiment  
22 of a digital-good obtainier, a fingerprint detector, a collusion indicator, a colluder  
23 identifier, a fingerprint memory, and a watermark detector.

24 A user can enter commands and information into computer 902 via input  
25 devices such as a keyboard 934 and a pointing device 936 (e.g., a "mouse").

1 Other input devices 938 (not shown specifically) may include a microphone,  
2 joystick, game pad, satellite dish, serial port, scanner, and/or the like. These and  
3 other input devices are connected to the processing unit 904 via input/output  
4 interfaces 940 that are coupled to the system bus 908, but may be connected by  
5 other interface and bus structures, such as a parallel port, game port, or a universal  
6 serial bus (USB).

7 A monitor 942 or other type of display device can also be connected to the  
8 system bus 908 via an interface, such as a video adapter 944. In addition to the  
9 monitor 942, other output peripheral devices can include components such as  
10 speakers (not shown) and a printer 946 which can be connected to computer 902  
11 via the input/output interfaces 940.

12 Computer 902 can operate in a networked environment using logical  
13 connections to one or more remote computers, such as a remote computing device  
14 948. By way of example, the remote computing device 948 can be a personal  
15 computer, portable computer, a server, a router, a network computer, a peer device  
16 or other common network node, and the like. The remote computing device 948 is  
17 illustrated as a portable computer that can include many or all of the elements and  
18 features described herein relative to computer 902.

19 Logical connections between computer 902 and the remote computer 948  
20 are depicted as a local area network (LAN) 950 and a general wide area network  
21 (WAN) 952. Such networking environments are commonplace in offices,  
22 enterprise-wide computer networks, intranets, and the Internet.

23 When implemented in a LAN networking environment, the computer 902 is  
24 connected to a local network 950 via a network interface or adapter 954. When  
25 implemented in a WAN networking environment, the computer 902 typically

1 includes a modem 956 or other means for establishing communications over the  
2 wide network 952. The modem 956, which can be internal or external to computer  
3 902, can be connected to the system bus 908 via the input/output interfaces 940 or  
4 other appropriate mechanisms. It is to be appreciated that the illustrated network  
5 connections are exemplary and that other means of establishing communication  
6 link(s) between the computers 902 and 948 can be employed.

7 In a networked environment, such as that illustrated with computing  
8 environment 900, program modules depicted relative to the computer 902, or  
9 portions thereof, may be stored in a remote memory storage device. By way of  
10 example, remote application programs 958 reside on a memory device of remote  
11 computer 948. For purposes of illustration, application programs and other  
12 executable program components such as the operating system are illustrated herein  
13 as discrete blocks, although it is recognized that such programs and components  
14 reside at various times in different storage components of the computing device  
15 902, and are executed by the data processor(s) of the computer.

16

### Computer-Executable Instructions

17

18 An implementation of an exemplary collusion register may be described in  
19 the general context of computer-executable instructions, such as program modules,  
20 executed by one or more computers or other devices. Generally, program modules  
21 include routines, programs, objects, components, data structures, etc. that perform  
22 particular tasks or implement particular abstract data types. Typically, the  
23 functionality of the program modules may be combined or distributed as desired in  
24 various embodiments.

25

1      **Exemplary Operating Environment**

2      Fig. 5 illustrates an example of a suitable operating environment 900 in  
3      which an exemplary collusion resister may be implemented. Specifically, the  
4      exemplary collusion resister(s) described herein may be implemented (wholly or  
5      in part) by any program modules 928-930 and/or operating system 926 in Fig. 5 or  
6      a portion thereof.

7      The operating environment is only an example of a suitable operating  
8      environment and is not intended to suggest any limitation as to the scope or use of  
9      functionality of the exemplary collusion resister(s) described herein. Other well  
10     known computing systems, environments, and/or configurations that are suitable  
11     for use include, but are not limited to, personal computers (PCs), server  
12     computers, hand-held or laptop devices, multiprocessor systems, microprocessor-  
13     based systems, programmable consumer electronics, wireless phones and  
14     equipments, general- and special-purpose appliances, application-specific  
15     integrated circuits (ASICs), network PCs, minicomputers, mainframe computers,  
16     distributed computing environments that include any of the above systems or  
17     devices, and the like.

18      **Computer Readable Media**

20      An implementation of an exemplary collusion resister may be stored on or  
21      transmitted across some form of computer readable media. Computer readable  
22      media can be any available media that can be accessed by a computer. By way of  
23      example, and not limitation, computer readable media may comprise “computer  
24      storage media” and “communications media.”

1        “Computer storage media” include volatile and non-volatile, removable and  
2 non-removable media implemented in any method or technology for storage of  
3 information such as computer readable instructions, data structures, program  
4 modules, or other data. Computer storage media includes, but is not limited to,  
5 RAM, ROM, EEPROM, flash memory or other memory technology, CD-ROM,  
6 digital versatile disks (DVD) or other optical storage, magnetic cassettes, magnetic  
7 tape, magnetic disk storage or other magnetic storage devices, or any other  
8 medium which can be used to store the desired information and which can be  
9 accessed by a computer.

10       “Communication media” typically embodies computer readable  
11 instructions, data structures, program modules, or other data in a modulated data  
12 digital good, such as carrier wave or other transport mechanism. Communication  
13 media also includes any information delivery media.

14       The term “modulated data signal” means a signal that has one or more of its  
15 characteristics set or changed in such a manner as to embedde information in the  
16 signal. By way of example, and not limitation, communication media includes  
17 wired media such as a wired network or direct-wired connection, and wireless  
18 media such as acoustic, RF, infrared, and other wireless media. Combinations of  
19 any of the above are also included within the scope of computer readable media.

20       **Conclusion**

22       Although the invention has been described in language specific to structural  
23 features and/or methodological steps, it is to be understood that the invention  
24 defined in the appended claims is not necessarily limited to the specific features or

1 steps described. Rather, the specific features and steps are disclosed as preferred  
2 forms of implementing the invention.